

#19525,2  
11-5-02  
ASA-672-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



International Patent Application of

M. MORI et al

Serial No. 09/506,808

Group Art Unit: 3625

Filed: February 18, 2000

Examiner: C. Nguyen

For: METHOD AND APPARATUS FOR EXECUTING ELECTRONIC AUCTION  
(As Amended)

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GROUP 3600

**INFORMATION DISCLOSURE STATEMENT (IDS)**  
**UNDER § 1.97 AND § 1.98**

Commissioner for Patents  
Washington, D.C. 20231

October 31, 2002

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS.

4. The undersigned hereby states:

☒ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS; or

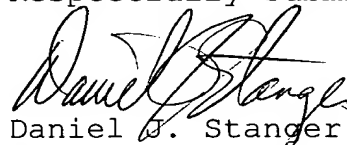
☐ (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

5. U.S. Patent No. 5,818,914, listed on the attached Form PTO-1449, is equivalent to JP-A-8-161412, which was cited in the Japanese Office Action in a counterpart foreign application.

6. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

7. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ASA-672-02SERIAL NO.  
09/506,808**LIST OF DOCUMENTS CITED BY APPLICANT**  
(Use several sheets if necessary)APPLICANT  
M. MORI et alFILING DATE  
February 18, 2000GROUP  
3625**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	5,818,914	10/06/98	Fujisaki			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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GROUP 3600**FOREIGN PATENT DOCUMENTS**

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	95/06917	03/09/95	WIPO			<input type="checkbox"/>	<input checked="" type="checkbox"/>
AM	8-79240	03/22/96	Japan (with English Abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		
AT		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.